



Brokerage & Custody Exclusively for Advisors
Member NASD/MSRB/SIPC

9845 Erma Road, Suite 312
San Diego, CA 92131
Phone (858) 530-1031
(800) 380-7370
Fax (858) 530-1820

Deposit Instructions

INSTRUCTIONS FOR WIRING FUNDS TO SSG

For Fed Funds wires to Shareholders Service Group ("SSG") use the routing instructions below. Requests should be submitted to the delivering financial institution. SSG does not charge to receive fed funds wires. There may be a charge from the sending institution.

Bank of New York (Address: 1 Wall Street)
New York, NY 10286
ABA # 021-000018
A/C Pershing LLC
A/C# 8900512385

Further credit to:
{Customer Name}
{Customer's SSG Account #}

For wires to Retirement Accounts which use Pershing as Custodian

Fax a copy of a completed contribution form to SSG and provide the wire instructions above to the sending bank.

DEPOSITING CHECKS

Make checks payable to "Pershing LLC"

- Write client account number on face of check
- Send checks to:

Shareholders Service Group
9845 Erma Road, Suite 312
San Diego, CA 92131

- Note: Cashier's checks are not accepted in amounts less than \$10,000.

For Retirement Accounts which use Pershing as Custodian

- Write intended year of contribution on face of check. If year not specified, deposit will be applied to the current calendar year and not to tax year.
- Complete an IRA Contribution Form and submit it along with the check. (Retirement accounts that have their own trustee or a third party custodian do not need a contribution form.)

For IRA Rollover Deposits

- IRA Rollover Checks should be made payable to: "Pershing LLC (Plan Type) FBO / Participant's name, Direct Rollover, Client Account # XXX-xxxxx"
- Direct Rollover Form and previous sponsor's rollover documentation, if available, need to accompany check to show that funds have come from a qualified retirement plan.
- Send checks to Shareholders Service Group

DEPOSITING CERTIFICATES—CERTIFICATE ENDORSEMENT INSTRUCTIONS

- The names on the registered certificate must match the title of the brokerage account. All parties must sign.
- The stock certificates must be endorsed on the back exactly as the name(s) appear on the front.
- Signatures must be within 2 inches of the signature line on the back of the certificate.
- On the back of the certificate preceding the words "attorney to transfer," write "Pershing LLC."
- Write the client account number on the face of the certificate.
- Send the endorsed stock/bond certificates to Shareholders Service Group at the above address.